

Docket No.: 5396P001C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

DAVID REED

Application No.: 10/615,433

Filed: July 7, 0703

For: **METHOD AND APPARATUS FOR
LINEAR LED LIGHTING**

Art Group: 2875

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/798,609, filed February 27, 2001) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

William E. Alford, Reg. No. 37,764

Date: October 15, 2003

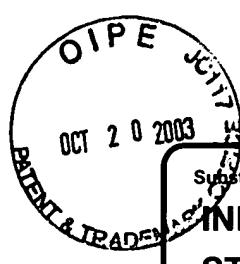
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(714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Susan McFarlane

10-15-03

Date



<p>Substitute for form 1449A/PTO</p> <h1 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
Sheet	1	of	4	Application Number	10/615,433
				Filing Date	July 7, 0703
				First Named Inventor	David Reed
				Art Unit	2875
				Examiner Name	Unassigned
				Attorney Docket Number	5396P001C

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-4,228,484	10-14-1980	Johnstone	
		US-D280,766	09-24-1985	Fujita, et al.	
		US-4,727,289	02-23-1988	Uchida	
		US-4,847,734	07-11-1989	Katoh	
		US-4,967,330	10-30-1990	Bell et al.	
		US-5,066,889	11-19-1991	Edwards	
		US-5,174,649	12-29-1992	Alston	
		US-5,218,233	06-08-1993	Takahashi	
		US-5,289,082	02-22-1994	Komoto	
		US-5,303,124	04-12-1994	Wrobel	
		US-5,325,271	06-28-1994	Hutchisson	
		US-D356,391	03-14-1995	Sugiura, et al.	
		US-D360,046	07-04-1995	Sugiura	
		US-5,442,258	08-15-1995	Shibata	
		US-D363,565	10-24-1995	Sugiura	
		US-5,490,049	02-06-1996	Montalan, et al.	-
		US-5,523,591	06-04-1996	Fleming, et al.	
		US-5,528,474	06-18-1996	Roney, et al.	
		US-5,548,676	08-20-1996	Savage, Jr.	
		US-5,561,346	10-01-1996	Byrne	

FOREIGN PATENT DOCUMENTS

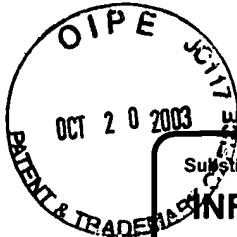
Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Complete if Known	
Application Number	10/615,433
Filing Date	July 7, 0703
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Attorney Docket Number	5396P001C

U.S. PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-5,564,819	10-15-1996	Yamaguchi	
		US-5,592,578	01-07-1997	Ruh	
		US-5,632,551	05-27-1997	Roney, et al.	
		US-5,639,158	06-17-1997	Sato	
		US-5,565,847	08-12-1997	Okazaki, et al.	
		US-5,661,374	08-26-1997	Cassidy, et al.	
		US-D385,051	10-14-1997	Wu	
		US-5,688,042	11-18-1997	Madadi, et al.	
		US-D388,726	01-06-1998	Wu	
		US-5,726,535	03-10-1998	Yan	
		US-5,727,862	03-17-1998	Wu	
		US-5,732,176	03-24-1998	Savage, Jr.	
		US-5,752,766	05-19-1998	Bailey, et al.	
		US-5,765,940	06-16-1998	Levy, et al.	
		US-5,806,965	09-15-1998	Deese	
		US-5,833,355	11-10-1998	You, et al.	
		US-5,850,126	12-15-1998	Kanbar	
		US-5,887,968	03-30-1999	Logan	
		US-5,898,381	04-27-1999	Gartner, et al.	
		US-5,947,588	09-07-1999	Huang	

FOREIGN PATENT DOCUMENTS

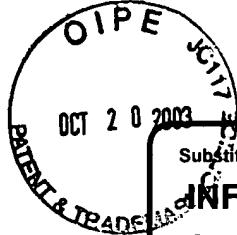
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(use as many sheets as necessary)

Sheet

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of

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Complete if Known	
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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-5,954,423	09-21-1999	Logan, et al.	
		US-5,962,971	10-05-1999	Chen	
		US-5,973,336	10-26-1999	Hanke, et al.	
		US-D418,928	01-11-2000	Zhang, et al.	
		US-6,019,493	02-01-2000	Kuo, et al.	
		US-6,033,087	03-07-2000	Shozo, et al.	
		US-6,045,240	04-04-2000	Hochstein	
		US-6,091,614	07-18-2000	Malenfant	
		US-6,109,764	08-29-2000	Shu	
		US-6,115,184	09-05-2000	Hubble, III et al.	
		US-6,127,784	10-03-2000	Grossman, et al.	
		US-6,149,283	11-21-2000	Conway, et al.	
		US-6,161,910	12-19-2000	Reisnauer, et al.	
		US-6,054,932	04-25-2000	Gartner, et al.	
		US-6,139,174	10-31-2000	Butterworth	
		US-6,153,985	11-28-2000	Grossman	
		US-6,168,294	01-02-2001	Erni, et al.	
		US-6,177,761	01-23-2001	Pelka, et al.	
		US-6,184,628	02-06-2001	Ruthenberg	
		US-6,193,392	02-27-2001	Lodhie	

FOREIGN PATENT DOCUMENTS

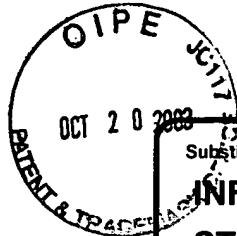
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Sheet

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	10/615,433
		Filing Date	July 7, 0703
		First Named Inventor	David Reed
		Art Unit	2875
		Examiner Name	Unassigned
Total Number of Pages in This Submission	9	Attorney Docket Number	5396P001C

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> PTO/SB/08		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William E. Alford, Reg. No. 37,764 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 15, 2003

CERTIFICATE OF MAILING/TRANSMISSION

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Signature		Date	October 15, 2003

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\\$)

<i>Complete if Known</i>	
Application Number	10/615,433
Filing Date	July 7, 0703
First Named Inventor	David Reed
Examiner Name	Unassigned
Group/Art Unit	2875
Attorney Docket No.	5396P001C

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	770	2001	385	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	265	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)		(\\$)		

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
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		3 = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple Dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\\$)		

**or number previously paid, if greater, For Reissues, see below

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051 65 Surcharge - late filing fee or oath	
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053 130 Non-English specification	
1812	2,520	1812 2,520 For filing a request for ex parte reexamination	
1804	920*	1804 920 * Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840 * Requesting publication of SIR after Examiner action	
1251	110	2251 55 Extension for reply within first month	
1252	420	2252 210 Extension for reply within second month	
1253	950	2253 475 Extension for reply within third month	
1254	1,480	2254 740 Extension for reply within fourth month	
1255	1,210	2255 605 Extension for reply within fifth month	
1404	330	2401 165 Notice of Appeal	
1402	330	2402 165 Filing a brief in support of an appeal	
1403	290	2403 145 Request for oral hearing	
1451	1,510	2451 1,510 Petition to institute a public use proceeding	
1452	110	2452 55 Petition to revive - unavoidable	
1453	1,330	2453 665 Petition to revive - unintentional	
1501	1,330	2501 665 Utility issue fee (or reissue)	
1502	480	2502 240 Design issue fee	
1503	640	2503 320 Plant issue fee	
1460	130	2460 130 Petitions to the Commissioner	
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180 Submission of Information Disclosure Stmt	
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809	770	1809 385 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810 385 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801 385 Request for Continued Examination (RCE)	
1802	900	1802 900 Request for expedited examination of a design application	
Other fee (specify)			
SUBTOTAL (3)		(\\$)	

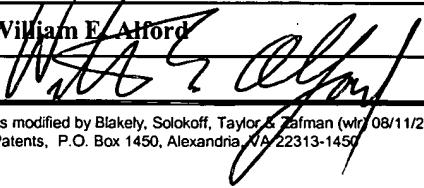
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	William E. Alford	Registration No. (Attorney/Agent)	37,764	Telephone	(714) 557-3800
Signature				Date	10/15/03

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